



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Richard A. Mazur, et al.

Serial No.: 09/541,170

Filed: April 3, 2000

For: APPARATUS WITH TWO OR MORE  
POCKETS FOR DOCUMENT  
PROCESSING

) Group Art Unit: 3653

) Examiner: Mark J. Beauchaine

) Atty. Docket No.: 47171-00267USC1

PATENT  
RECEIVED  
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GROUP 3600  
*#16*

*Cummins-Allison*  
11/08/02  
(N.E.)

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231  
Attention: Box Responses-Fee

01/02/2002 SMINASS1 00000090 09541170

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to the COMMISSIONER FOR PATENTS, Washington, D.C. 20231, on November 29, 2001.

11/29/01

*[Signature]*  
Signature

**TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL  
OBVIOUSNESS-TYPE DOUBLE PATENTING REJECTION**

Dear Sir:

The owner, Cummins-Allison Corp., of one hundred (100) percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. §§ 154-156 and 173, as presently shortened by any terminal disclaimer, of co-pending U.S. Application Nos. 09/542,487, 09/607,019, 09/611,279 and 09/864,423. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the application are commonly

owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. §§ 154-156 and 173 of the prior patent, as presently shortened by any terminal disclaimer, in the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. § 1.321, has all claims cancelled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Pursuant to 37 C.F.R. § 3.73, the undersigned has reviewed the evidentiary documents, specifically, the Assignment of the instant application (as well as the four applications identified above) to Cummins-Allison Corp., which was submitted to the U.S. Patent and Trademark Office on January 8, 1998, for recordal, a copy of which is attached hereto, and certifies that, to the best of his knowledge and belief, title of the instant application (as well as the four applications identified above) is in the name of Assignee, Cummins-Allison Corp.

For submissions on behalf of an organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the organization.

I, Jeffrey G. Knoll, Vice-President and General Counsel of Cummins-Allison Corp., hereby affirm that I am a representative authorized to sign on behalf of the Assignee identified as owning all of the interest in this application.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and, further, that these

statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Enclosed is a check in the amount of \$110.00 for the fee set forth in 37 C.F.R. § 1.20(d) for filing this Terminal Disclaimer. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 10-0447 (47171-00267USC1). A duplicate copy of this Terminal Disclaimer is enclosed for that purpose.

Date: 11/26/01

Respectfully submitted,

  
\_\_\_\_\_  
Name: Jeffrey G. Knoll  
Title: Vice President and General Counsel  
Assignee: Cummins-Allison Corp.

## ASSIGNMENT

**FOR GOOD AND VALUABLE CONSIDERATION**, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do/does hereby:

**SELL, ASSIGN AND TRANSFER** to CUMMINS-ALLISON CORP. (the "Assignee"), a corporation of Indiana having a place of business at 891 Feehanville Drive, Mt. Prospect, Illinois 60056, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith and is entitled Method and Apparatus for Document Processing, filed on May 28, 1997 under Serial No. 08/864,423, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

**AUTHORIZE AND REQUEST** the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

**WARRANT AND COVENANT** that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

**COVENANT**, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

**TO BE BINDING** on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

(Signature)

Name: Lars R. Stromme

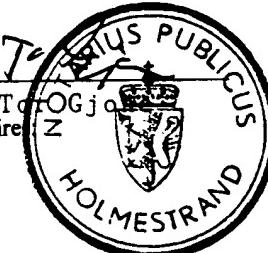
Date 12-9-1997

CITY OF Holmestrand)  
                         ) ss.  
COUNTRY OF Norway)

**BEFORE ME**, the undersigned authority, on this 9th day of december, 1997, personally appeared Lars R. Stromme known to me to be the person whose names are subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[seal]

Notary Public  
My Commission Expires 12-01-01



(Signature) Matthew L. Anderson Date Dec 4, 19  
Name: Matthew L. Anderson

STATE OF Illinois )  
 ) ss.  
COUNTY OF Cook )

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,  
personally appeared Matthew L. Anderson known to me to be the person whose names are subscribed to the  
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes  
and consideration therein expressed.



Sandra A Scesniak  
Notary Public  
My Commission Expires:

(Signature) Bradford T Graves Date 12/4/97  
Name: Bradford T. Graves

STATE OF Illinois )  
 ) ss.  
COUNTY OF Cook )

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,  
personally appeared Bradford T. Graves known to me to be the person whose names are subscribed to the  
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes  
and consideration therein expressed.



Sandra A Scesniak  
Notary Public  
My Commission Expires:

(Signature) William J. Jones Date Dec 4, 97  
Name: William J. Jones

STATE OF Illinois )  
 ) ss.  
COUNTY OF Cook )

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,  
personally appeared William J. Jones known to me to be the person whose names are subscribed to the  
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes  
and consideration therein expressed.



Sandra A. Scesniak  
Notary Public  
My Commission Expires:

(Signature) Robert J. Klein Date 12/4/97  
Name: Robert J. Klein

STATE OF Illinois )  
                      ) ss.  
COUNTY OF Cook    )

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,  
personally appeared Robert J. Klein known to me to be the person whose names are subscribed to the foregoing  
instrument and acknowledged to me that he executed the same of his own free will for the purposes and  
consideration therein expressed.

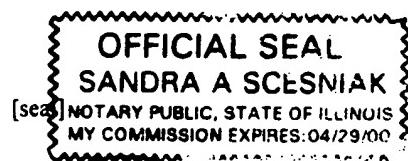


Sandra A. Scesniak  
Notary Public  
My Commission Expires:

(Signature) Richard A. Mazur Date 12-4-97  
Name: Richard A. Mazur

STATE OF Illinois )  
                      ) ss.  
COUNTY OF Cook    )

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,  
personally appeared Richard A. Mazur known to me to be the person whose names are subscribed to the  
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes  
and consideration therein expressed.

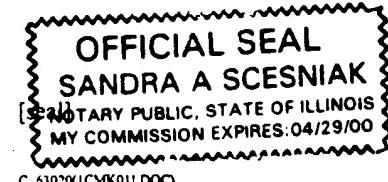


Sandra A. Scesniak  
Notary Public  
My Commission Expires:

(Signature) Douglas U. Mennie Date 12/3/97  
Name: Douglas U. Mennie

STATE OF Illinois )  
                      ) ss.  
COUNTY OF Cook    )

BEFORE ME, the undersigned authority, on this 3 day of December, 1997,  
personally appeared Douglas U. Mennie known to me to be the person whose names are subscribed to the  
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes  
and consideration therein expressed.



Sandra A. Scesniak  
Notary Public  
My Commission Expires:

(Signature) Mark C. Munro Date 12-4-97  
Name: **Mark C. Munro**

STATE OF Illinois )  
                      ) ss.  
COUNTY OF Cook   )

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,  
personally appeared Mark C. Munro known to me to be the person whose names are subscribed to the foregoing  
instrument and acknowledged to me that he executed the same of his own free will for the purposes and  
consideration therein expressed.

Sandra G. Schreiter

Notary Public  
My Commission Expires:

[seal]

**OFFICIAL SEAL**  
**SANDRA A SCESNIAK**  
NOTARY PUBLIC, STATE OF ILLINOIS  
MY COMMISSION EXPIRES: 04/29/00

(Signature) Heinz W. Schreiter Date 12-4-97  
Name: **Heinz W. Schreiter**

STATE OF Illinois )  
                      ) ss.  
COUNTY OF Cook   )

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,  
personally appeared Heinz W. Schreiter known to me to be the person whose names are subscribed to the  
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes  
and consideration therein expressed.

Sandra G. Schreiter

Notary Public  
My Commission Expires:

[seal]

**OFFICIAL SEAL**  
**SANDRA A SCESNIAK**  
NOTARY PUBLIC, STATE OF ILLINOIS  
MY COMMISSION EXPIRES: 04/29/00

\* P<sup>up</sup> Column 124  
KIH/GAT GICHGIC



AUGUST 31, 1998

PTAS

**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

ARNOLD, WHITE & DURKEE  
STEPHEN G. RUDISILL  
P.O. BOX 4433 -  
HOUSTON, TX 77210



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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/12/1998

REEL/FRAME: 8890/0809  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

**ASSIGNOR:** STROMME, LARS R. **DOC DATE:** 12/07/1997

ASSIGNOR: ANDERSON MATTHEW L. DOC DATE: 12/07/1997

ASSIGNOR: GRAVES, BRADFORD T. DOC DATE: 12/07/1997

ASSIGNOR: JONES, WILLIAM T. DOC DATE: 12/07/1997

ASSIGNOR: KLEIN, ROBERT J. DOC DATE: 12/07/1997

**ASSIGNOR:** MAZUR, RICHARD A. **DOC DATE:** 12/07/1997

ASSIGNOR: MERNIE DOUGLAS II DOC. DATE: 12/07/1997

CHECKED BY:	
1ST CHECK	(initials)
2ND CHECK	(initials)

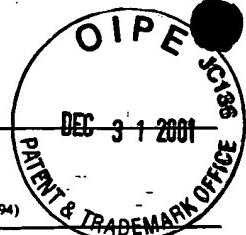
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8890/0809 PAGE 2

DOROTHY RILEY, PARALEGAL  
ASSIGNMENT DIVISION  
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08-18-1998



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FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)

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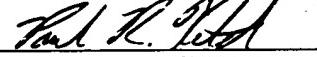
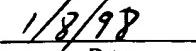
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U.S. Department of Commerce  
Patent And Trademark Office

RECEIPT ACCTG DIV

<p>To the Honorable Commissioner of Patents and Trademarks: Please record the attached or copy thereof.</p> <p><i>meo 1-12-98</i></p>	
<p>1. Name of conveying party(ies):            Lars R. Stromme            Matthew L. Anderson            Bradford T. Graves            William J. Jones            Robert J. Klein            Richard A. Mazur  <u>Douglas U. Mennie</u>            Mark C. Munro            Heinz W. Schreiter</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other _____</p> <p>Execution Date: December 7, 1997</p>	
<p>2. Name and address of receiving party(ies):            Name: Cummins-Allison Corp.            Internal Address: _____            Street Address: 891 Foothill Drive            City: Mt. Prospect State: IL Zip: 60056</p> <p>Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	
<p>4. Application number(s) or registration number(s): 08/864,423            If this document is being filed together with a new application, the execution date of the application is:            A. Patent Application No.(s) 08/864,423            B. Patent No.(s)            Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	
<p>5. Name and address of party to whom correspondence concerning document should be mailed:            Name: Stephen G. Rudisill, Esq.            Internal Address: Arnold, White &amp; Durkee            Street Address: P.O. Box 4433            City: Houston State: Texas Zip: 77210</p>	
<p>6. Total number of applications and patents involved: One            7. Total fee (37 C.F.R. 3.41) \$ 40.00  <input checked="" type="checkbox"/> Enclosed  <input type="checkbox"/> Authorized to be charged to deposit account            8. Deposit account number:            (Attach duplicate copy of this page if paying by deposit account)</p>	
<p style="text-align: center;">DO NOT USE THIS SPACE</p>	
<p>9. Statement and signature.  <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i></p>	
<p>Paul R. Kitch            Name of Person Signing             Signature             Date 1/18/98</p>	
<p>Total number of pages including cover sheet, attachments and document: 6</p>	

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
 Washington, D.C. 20231

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